

September 11, 2014

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Reference: **FR2014-0730-0129/E**
Link Reference : FR2013-0730-0033

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REVIEW LETTER

1. MFD - Asset Allocation Seminar Postcard Invite #24278.4
Rules: FIN 2210, SEC 482
2 pages

Fee: \$125

Total Fee: \$125

Attention: Stephen MacDonald

The material submitted appears consistent with applicable standards.

Reviewed by,

George G. Weinberg
Associate Principal Analyst

aec

This year's Advertising Regulation Conference will be held on October 9-10 in Washington, D.C. For more information and to register, please view our online brochure at <http://www.finra.org/conferences/adreg3>.

NOTE: This review is limited to the communication that was filed. We assume that the communication does not omit material facts, contain statements that are not factual, or offer opinions that do not have a reasonable basis. This communication may be described as "Reviewed by FINRA" or "FINRA Reviewed"; however, there must be no statement or implication that this communication has been approved by FINRA.



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