

JNLD Complimentary Event Agreement

Name & Firm: _____

Event Description & Date: _____

Agreement:

By signing this form, I am agreeing that this event will be truly complimentary. I will not use high-pressure sales tactics and will conduct myself ethically. Further, I will include a statement in my presentation such as

“In response to recent concerns by both the financial industry and the general investing public we would like you to know that this event is truly complimentary. While we would like to earn your trust and your business, you are under absolutely no obligation to set an appointment or to purchase any products or services.

As a member of Financial Industry Regulatory Authority (FINRA), the largest non-governmental regulator for all securities firms doing business in the United States, we share their philosophy that investor protection begins with education. For additional investor protection information please visit the investor information section of www.finra.org.”

I understand that if I do not agree to and follow these terms I may not seek and will not receive reimbursement from JNLD.

| | | |
|------------------------|-----------|-------|
| _____ | _____ | _____ |
| Financial Professional | Signature | Date |

By submitting this form, I am agreeing that this event was truly complimentary and that all requirements were fulfilled for requesting reimbursement from JNLD. I did not observe high-pressure sales tactics and the financial professional did conduct himself/ herself ethically. Further, I attest that the presentation included a statement similar to the example provided above.

| | | |
|---------------|-----------|-------|
| _____ | _____ | _____ |
| JNLD Employee | Signature | Date |